

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : December 30, 1999  
C-S Li, et al : Group Art No.: 2773  
Serial No. 09/413,515 : Examiner: Not assigned  
Filed: October 6, 1999 : for IBM Corporation  
Anne Vachon Dougherty  
Title: METHOD AND APPARATUS 3173 Cedar Road  
FOR TRANSCODING MULTIMEDIA Yorktown Hts, NY 10598  
USING CONTENT ANALYSIS

DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to  
Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e))  
in the amount of \$130.00.

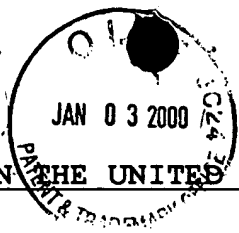
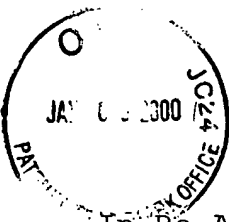
Fee in the amount of \$40.00 for recordation of Assignment.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty  
Name of authorized party

Anne Vachon Dougherty  
Signature of authorized party

SC C10A/\$



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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

- Notice to File Missing Parts of Application
- Fee-Deposit Authorization
- Declaration
- Assignment and Recordation Form Cover Sheet
- Acknowledgement Postcard

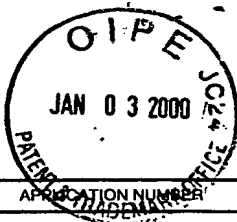
is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks  
Washington, DC 20231

on December 30, 1999.

Anne Vachon Dougherty

Anne Vachon Dougherty  
Signature of person mailing



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/413,515	10/06/99 LI		C Y0998-393

0262/1101

IBM CORPORATION  
ANNE VACHON DOUGHERTY ESQ  
3173 CEDAR ROAD  
YORKTOWN HEIGHTS NY 10598

NOT ASSIGNED

2773

DATE MAILED:

11/01/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION

## Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.131(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

D. Durham

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/05/2000 ZABDALLA 00000032 500514 09413515 130.00 CH 01 FC:105